Docket Number Application Number 10/767.649 04-6176 INFORMATION DISCLOSURE Applicants STATEMENT BY APPLICANT Thomas J. DALEY, et al. Filing Date Group Art Unit January 29, 2004 3684 U.S. PATENT DOCUMENTS Name of Patentee Examiner Cite Document Number Date Clase Sub-Class Filing Date Initials FOREIGN PATENT DOCUMENTS Examiner Cite Foreign Document Number Date Country Name of Patentee or Applicant of Sub-Class Translation Initials No Code Cited Document NON PATENT LITERATURE DOCUMENTS Examiner Author, Title, date, pages, volume, publisher, city and/or country where published Initials No Daisuke Ochiai, "Electronic Finance and Security Trading, 'London Stock Exchange Transfers to New Trading System", Capital Market Quarterly, Autumn 1997, Vol. 1, No. 2, Nomura Research Institute,